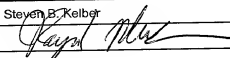


08/17/01

1040 U.S. PTO
08/17/01

UTILITY PATENT APPLICATION TRANSMITTAL <small>(provisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. 9575-001-23
First Inventor or Application Identifier		Robert J. BURNS, et al.
Title	METHOD FOR STRUCTURING, PRICING AND SETTLING A CURRENT MORTGAGE PRICE INDICATOR CONTRACT	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) <input type="checkbox"/> Applicant claims small entity status. 2. <input checked="" type="checkbox"/> Specification Total Pages 35 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 10 4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="text"/> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed) 5. <input type="checkbox"/> Incorporation By Reference (usable if box 48 is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		ACCOMPANYING DOCUMENTS 6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) (if applicable) 8. <input type="checkbox"/> English Translation Document (if applicable) 9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 10. <input type="checkbox"/> Preliminary Amendment 11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard 12. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 13. <input type="checkbox"/> Request for Priority 14. <input checked="" type="checkbox"/> List of Inventors' Names and Addresses 15. <input checked="" type="checkbox"/> Other: Nonpublication Request and Certification	
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:			
17. Amend the specification by inserting before the first line the sentence: <input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on <input checked="" type="checkbox"/> This application claims priority of provisional application Serial No. 60/226,589 Filed August 21, 2000.			
18. CORRESPONDENCE ADDRESS Supervisor, Patent Prosecution Services PIPER MARBURY RUDNICK & WOLFE LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085			

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	8/17/2001
Name	Raymond Millien	Registration No.	43,806

FEE TRANSMITTAL

Docket No. 9575-001-23
 Serial No. New Application
 Filing Date Herewith
 Inventor(s) Robert J. BURNS et al.
 Group Art Unit
 Examiner

TOTAL AMOUNT OF PAYMENT \$920.00

1. ☐ Applicant claims small entity status.

☐ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge-late filing fee or oath	130.00

FEE CALCULATION

1. BASIC FILING FEE

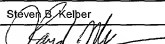
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Resubmission filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$710.00

2. EXTRA CLAIM FEES

tot. claims	14	-	20*	=	0	x	\$18	=	0	121	270	221	135	Request for Oral Hearing		
ind. claims	4	-	3*	=	1	x	\$80	=	80	142	1240	242	620	Unity/Rescuse Issue Fee		
α	Multiple Dependent Claims								\$270	=	143	440	243	220	Design Issue Fee	
Large Entity		Small Entity		Fee Description						144	500	244	300	Plant Issue Fee		
Fee Code	Fee (\$)	Fee Code	Fee (\$)							122	130	122	130	Petitions to the Commissioner		
103	18	203	9	Claims in excess of 20						126	180	126	180	JDS Submission		
102	80	202	40	Independent claims in excess of 3						581	40	581	40	Assignment		
104	270	204	135	Multiple dependent claim, if not paid						179	710	279	355	For Filing RCE		
109	80	209	40	*Rescuse independent claims over original patent						169	900	169	900	Expedited Design		
110	18	210	9	*Rescuse claims in excess of 20 and over original patent						OTHER (indicate below).						

* or number previously paid, if greater. For Resubmissions, see above

SUBTOTAL (3) \$130.00

Name	Steven B. Kelper	Registration No.	30,073
Signature		Date	8/17/2001
Name	Raymond Millien	Telephone	202-861-3900
		Registration No.	43,806

DOCKET NO. 9575-001-23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Robert J. BURNS *et al.*

ART UNIT:

SERIAL NO.: New Application

EXAMINER:

FILING DATE: Herewith

FOR: METHOD FOR STRUCTURING, PRICING AND SETTLING A CURRENT
MORTGAGE PRICE INDICATOR CONTRACT

**NONPUBLICATION REQUEST
AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**

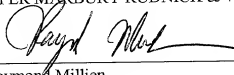
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

It is hereby certified that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at 18 months after filing. It is hereby requested that the attached application not be published under 35 U.S.C. 122(b). Applicant reserves the right to rescind this request pursuant to 35 U.S.C. 122(b)(2)(B)(ii).

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



Raymond Millien
Registration No. 43,806

Date

8/17/2001

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09575-001-23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

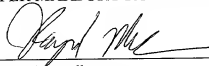
Listed below are the names and addresses of the inventors for the above-identified patent application.

1. Robert J. Burns, 46415 Thornwood Ct, Sterling, VA 20165;
2. Mustafa Chowdhury, 9630 Cinnamon Creek Drive, Vienna VA 22182;
3. Patricia M. Dodson, 13115 Weather Vane Way, Herndon, VA 22071;
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11. Stanley J. Kon, 104 Lynwood Place, Chapel Hill, NC 27514; and
12. William F. Quinn, 13005 Russell, Overland Park, KS 66209.

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



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Registration No. 30,073
Attorney of Record

Raymond Millien
Registration No. 43,806

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